fw 3692

Application No. 09/893,633 06/27/2001 Clement B. Graham 24041 3692 \$5630 Invention: COMPUTER REPORTED FOR VALUING AND HEDGING CUSTOMIZED INDEXED CALL OPTION JAN 2 2 1000 COMMISSIONER FOR PATENTS: COMMISSIONER FOR PATENTS: COMMISSIONER FOR PATE	AMENDMENT TRANSMITTAL LETTER (Sma Applicant(s): Richard C. Payne				ıtity)		Docket No. GENP:101_US_		
Invention: COMPUTER BORDENSTEM FOR VALUING AND HEDGING CUSTOMIZED INDEXED CALL OPTION A 2 2 1001 COMMISSIONER FOR PATENTS:	Application No.	Filing Date	Examiner		Customer N	٥.	Group Art Unit	Confirmation No.	
Transmitted herewith is an amendment in the above-identified application. Applicant claims small entity status. See 37 CFR 1.27 The fee has been calculated and is transmitted as shown below. CLAIMS AS AMENDED	09/893,633	06/27/2001	Clement B. Grahai	m	24041		3692	5630	
Applicant claims small entity status. See 37 CFR 1.27 The fee has been calculated and is transmitted as shown below. CLAIMS AS AMENDED CLAIMS REMAINING HIGHEST # NUMBER EXTRA AFTER ARATE FEE TOTAL CLAIMS 23 - 23 = 0 x \$25.00 \$0.00 INDEP. CLAIMS 10 - 11 = 0 x \$100.00 \$0.00 Multiple Dependent Claims (check if applicable)	Invention: COMPUTER BASEDS YSTEM FOR VALUING AND HEDGING CUSTOMIZED INDEXED CALL OPTION								
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TOTAL CLAIMS 23 - 23 = 0 x \$25.00 \$0.00 INDEP. CLAIMS 10 - 11 = 0 x \$100.00 \$0.00 Multiple Dependent Claims (check if applicable)							RATE		
Multiple Dependent Claims (check if applicable) TOTAL ADDITIONAL FEE FOR THIS AMENDMENT \$0.00 No additional fee is required for amendment. Please charge Deposit Account No. in the amount of A check in the amount of to cover the filling fee is enclosed. The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0822 Any additional filling fees required under 37 C.F.R. 1.16. Any patent application processing fees under 37 CFR 1.17. Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. C. Paul Maliszewski	TOTAL CLAIMS					x	\$25.00		
No additional fee is required for amendment. Please charge Deposit Account No.	INDEP. CLAIMS	10 -	11 =		0	<u></u>	\$100.00	\$0.00	
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C. Paul Maliszewski Registration No. 51,990 Simpson & Simpson, PLLC S555 Main Street Williamsville, New York 14221 Phone: (716) 626-1564 Facsimile: (716) 626-0366 CC: CPM/alb I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on January 19, 2007 (Date) /C. Paul Maliszewski/ Signature of Person Mailing Correspondence C. Paul Maliszewski	 □ Please charge Deposit Account No. in the amount of □ A check in the amount of to cover the filing fee is enclosed. ☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0822 ☒ Any additional filing fees required under 37 C.F.R. 1.16. ☒ Any patent application processing fees under 37 CFR 1.17. □ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not 								
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	cc: CPM/alb			ļ	C. Paul Maliszewski Typed or Printed Name of Person Mailing Correspondence				

U.S. Patent Application No.: 09/893,633

Confirmation No.: 5630

Applicant(s): PAYNE, Richard C.

Filed: 06/27/2001

For: COMPUTER BASED SYSTEM FOR VALUING AND HEDGING CUSTOMIZED INDEXED CALL OPTION

TC/Art Unit: 3692

Examiner: Clement B. GRAHAM

Docket No.: GENP:101_US_

Customer No.: 24041

Certificate of Mailing by First Class Mail

I certify that this communication is being deposited on January 19, 2007 with the U.S. Postal Service as first class mail under 37 C.F.R. §1.8 and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

/C. Paul Maliszewski/

C. Paul Maliszewski Registration No. 51,990

AMENDMENT AND REQUEST FOR RECONSIDERATION

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Honorable Sir:

This Amendment and Request for Reconsideration is in response to the Office Action dated October 19, 2007 regarding the above-identified patent application.

Amendments to the Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 7 of this paper.